

## 2016 SEAL OF GOOD LOCAL GOVERNANCE

Indicator Reference: Technical Notes

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
<b>I. FINANCIAL ADMINISTRATION</b>					
<b>A. Good Financial Housekeeping</b>					
1	2014 audit opinion	<p>An opinion rendered by Commission on Audit based on the fairness of the financial statements prepared by a government entity at the end of each fiscal year. All government entities, including LGUs, are mandated to prepare financial statements following the accounting standards prescribed by COA, in accordance with generally accepted standards for public sector institutions.</p> <p>4 types of opinion:  <i>Unqualified</i> - signifies that a clear opinion is rendered which means that the financial statements present fairly, in all material aspects, the results of the operations and the financial condition of the local government unit (LGU) for the period and date indicated, based on existing government standards, and in compliance with government laws, rules and regulations.</p> <p><i>Qualified</i> - Indicates that certain material transactions and/or accounts have been found to be improper, are questionable or are requiring more solid justifications and therefore have not been passed in audit. The transactions and/or accounts under questions, however, are not so significant relative to the total operations of the subject entity, as to fully negate other aspects of operations which were found to be in order</p>	<p>Office of the LCE Office of the Accountant</p> <p>Document:            - LGU's 2014 Annual Audit Report by the Commission on Audit</p>	<p>Additional Document: List of official audit opinions from COA-CO</p> <p><i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification</i></p>	Provinces, cities and municipalities: 2014 Unqualified or qualified COA Audit Opinion

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		<p>Adverse - Reveals that the financial statements of the government entity do not fairly present its results of operations and financial condition, and are not in compliance with prescribed laws and applicable guidelines. An adverse opinion puts to question the entire financial operation of the subject entity and demonstrates past and/or present management's inability to resolve previously disallowed transactions</p> <p><i>Disclaimer / No Opinion</i> - means that the auditor of the subject government entity does not have sufficient basis to form any opinion on the financial statements, or the financial statements presented are inadequate such that no opinion can be made, or no financial statements are available upon which an opinion can be rendered. In general, a disclaimer is worse than an adverse opinion because this shows management's failure to perform a basic mandate, which is to keep adequate records of an agency's financial transactions.</p>			
2	Compliance with the Full Disclosure Policy: posting of financial documents in 3 conspicuous places (2015 Annual and 4 <sup>th</sup> quarter posting period documents)	Adherence of LGUs in the FDP which requires them to fully disclose particular financial transactions to show how funds are managed, disbursed and used. Posting requirements are at least in three (3) publicly accessible and conspicuous places pursuant to Section 84 of Republic Act No. 10352 (GAA) FY 2013 and DILG MC 2013-140.	3 Conspicuous places: Actual posting within LGU premises	Onsite inspection of the 3 conspicuous places to capture in a photo actual presence of posted documents for the FDP	Provinces, cities and municipalities: Full compliance with the Full Disclosure Policy of Local Budget and Finances, Bids and Public Offerings (annual and 4 <sup>th</sup> quarter posting period, 2015): posting in 3 conspicuous places

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
3	Compliance with the Full Disclosure Policy: FDPP (2015 Annual and 4 <sup>th</sup> quarter posting period documents)	Adherence of LGUs in the FDP which requires them to fully disclose particular financial transactions to show how funds are managed, disbursed and used. Posting is required in the Full Disclosure Policy Portal of the DILG pursuant to Section 84 of Republic Act No. 10352 (GAA) FY 2013 and DILG MC 2013-140.	LGU-LGPMS Team Data Encoder Local Treasurer  Documents: - Actual posting in FDPP - 2014 LGU e-SRE posted in the BLGF website (printscreen)	Document Review  Documents: - List of fully-compliant LGUs on FDPP from BLGS-PCMD - List of compliant LGU on the submission of e-SRE for 2014 from BLGF  <i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification</i>	Provinces, cities and municipalities:  Full compliance with the Full Disclosure Policy of Local Budget and Finances, Bids and Public Offerings (annual and 4th quarter posting period, 2015): posting in the FDP Portal  2014 LGU e-SRE posted: s-SRE portal of the BLGF
<b>B. Financial Performance</b>					
4	Average local revenue growth from CYs 2012 to 2014	Indicates the LGU's financial capacity as shown in the annual change (increase or decrease) in local revenue collection over the past 3 years.  Locally-sourced revenue = RPT (basic) + Tax on Business + Other taxes + Regulatory fees + Service/user charge + Receipts from economic enterprise	Office of Local Treasurer  Documents: - Data from SREs prepared by Local Treasurer for CYs 2012-2014	Document review  Additional Document: Data on average local revenue growth from CYs 2012 to 2014 from DOF-BLGF  <i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification</i>	Provinces, cities and municipalities: Above 0% average local collection growth for the last 3 consecutive years (2012-2014)

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		<p><b>Growth Rate</b></p> $\text{Growth Rate}_t = \frac{\text{Locally Sourced Revenue}_t - \text{Locally Sourced Revenue}_{t-1}}{\text{Locally Sourced Revenue}_{t-1}} \times 100$ <p><b>Average Growth Rate</b></p> $\sum_{t=1}^n \text{Growth rate}_t$ <p>Where:  t = {1, 2, ...n}  n = total number of growth rates</p>			
5	GSIS obligations payments for 2014: members' premium contributions and loan payments	<p>Adherence to the Government Service Insurance System Act of 1997 Section 5 which states that it is mandatory for GSIS members and employers (i.e. local government units) to pay monthly contributions</p> <p>Computations:  <i>For 2014 member premium payments</i>  (GSIS monthly payments remitted by the LGU for 2014 / GSIS monthly billed member premium payables by the LGU) x 100</p> <p><i>For 2014 member loan payments</i>  (GSIS monthly loans payment for 2014 / GSIS monthly billed member loans payables by the LGU) x 100</p>	<p>Operations Groups NCR/Luzon/VisMin</p> <p>Documents:  - Actual monthly payments made by the LGU to GSIS Central and Branch Offices vis-à-vis actual amount of billing</p>	<p>Document review</p> <p>Additional Document: Data on % of LGU payments for 2014 from GSIS-CO and BO</p> <p><i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification</i></p>	Provinces, cities and municipalities: At least 98% on payment of members' premiums contributions and At least 80% overall payment of members' loans

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
<b>II. DISASTER PREPAREDNESS</b>					
1	2015 1 <sup>st</sup> Place National Gawad KALASAG Awardee for Best LDRRMCs or Hall of Fame Awardee	<p>Recognition received by the LGU due to the proven effectiveness and efficiency of its LDRRMC, as well as, its capacity to implement DRRM-related projects and activities to build the LGU's resilience to disasters.</p> <p>Hall of Fame Award for Best LDRRMC is conferred when the potential awardee has received such an award for 3 consecutive years with a consistent excellent rating (91 to 100%).</p> <p>Period for being a Hall of Fame Awardee: CYs 2012 to 2015.</p>	<p>LDRRMO</p> <p>Document: Recognition provided by OCD for 2015 Gawad KALASAG</p>	<p>Interview Document review</p> <p>Document: OCD's certified list of awardees for 2015 and Hall of Fame Awardees</p> <p><i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification</i></p>	Provinces, cities and municipalities: 2015 1 <sup>st</sup> Place National Gawad KALASAG Awardee for Best LDRRMCs or Hall of Fame Awardee
<b>OR</b>					
2	LGU's awareness of LGU hazard	Indicates the consciousness of the LGU to potential threats, either natural or man-made	<p>LDRRMO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Hazard and Vulnerability Assessment</li> <li>- Hazard Maps</li> <li>- Risk Maps</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	Provinces, cities and municipalities: LGU must know what potential hazards the locality is facing and the steps it has undertaken to prepare for such events.
3	Risk and/ or vulnerability assessment is conducted, and information is linked to plans.	Presence of risk and/or vulnerability assessment data gathered by the LGU which are translated into DRR and CCA measures that are integrated or mainstreamed into plans	<p>LDRRMO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Approved PDPFP (for provinces)</li> <li>- Approved CLUP (for cities and municipalities)</li> <li>- Approved LDRRM Plan</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	Provinces, cities and municipalities: Documents must show that results of risk and/or vulnerability assessment or study are integrated in the plan

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
			<ul style="list-style-type: none"> <li>- Approved Contingency Plan</li> <li>- Approved LCCAP</li> </ul>		
4.1	Presence of LDRMMC	Compliance of the LGU to the minimum standards on disaster preparedness particularly on the establishment and composition of the LDRRMC pursuant to Rule 5 Sections 2 and 3 of the IRR of RA 10121.	LDRRMO  Document: <ul style="list-style-type: none"> <li>- Executive Order or similar issuance creating the LDRRMC</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	Provinces, cities and municipalities: LDRRMC composition should show CSO and private sector membership and the names of representatives.  As provided by law, there should be 4 accredited CSO members, and one representative from the private sector.
4.2	Plantilla LDRRMO	Compliance to the minimum requirements of the establishment of an LDRRM Office which shall head the development and implementation of programs or strategies relative to disaster-related concerns pursuant to Section 12 of RA 10121 and JMC 2014-1 re Implementing Guidelines for the Establishment of LDRRM Offices or BRGY DRMM Committee in LGUs. The LDRRMO is to be manned by a plantilla position for LDRRMO (i.e. full-time work) and position must be filled-up.  Rule 6 Section 3 of the IRR of RA 10121 provides the qualification of the LDRRM Officer as follows: (1) civil service eligible and (2) have a Civil Defense or DRM experience.  <i>Plantilla</i> means permanent position.	LDRRMO  Documents: <ul style="list-style-type: none"> <li>- Executive Order or similar issuance creating the LDRRM Officer as plantilla position</li> <li>- CSC Certificate of Appointment of LDRRMO; or in case of designation, EO or similar issuance designating a staff as LDRRMO</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	Provinces, cities, and 1 <sup>st</sup> to 3 <sup>rd</sup> class municipalities: plantilla LDRRMO (must be filled-up)  4 <sup>th</sup> to 6 <sup>th</sup> class municipalities: at least a designated LDRRMO

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
5	Availability of plans and documents that integrate DRR and CCA-related measures: PDPFP/CLUP, LDRRM Plan, Contingency Plan, Zoning Ordinance, CBDRRM Plan	<p>Presence of legally mandated local plans that will help the LGUs identify, strategize, and allocate resources in alignment with DRRM and CCA considerations</p> <p>Plans can be term-based (i.e. 2013 to 2016) or annual (i.e. 2016) as long they are still valid and can still be used for the current year.</p> <p>Approved PDPFP are those which are endorsed by both the PPDDC and the Governor.</p> <p>Updated CLUP are those CLUP approved from year 2006 to 2016.</p> <p>If LCCAP is not a separate document, this may have been integrated in the CLUP or LDRRM Plan (to be subjected for review). Those CLUP approved from 2012 to 2016 has already integrated climate change adaptation strategies.</p>	<p>LPDC LDRRMO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Approved PDPFP</li> <li>- Approved CLUP</li> <li>- Approved LDRRM Plan</li> <li>- Approved Contingency Plan</li> <li>- Approved LCCAP</li> <li>- List of barangays with approved CBDRRM Plan</li> <li>- List of barangays with approved DRR-related PPAs which includes efforts involving the communities</li> <li>- Accomplished Form CM 2.2A</li> <li>- 2016 Annual Investment Plan</li> </ul>	<ul style="list-style-type: none"> <li>- Document review</li> <li>- Photo to capture proof of the presence of the plans</li> </ul> <p>Additional Documents</p> <ul style="list-style-type: none"> <li>- PDPFP and CLUP: Certified list collected from NEDA and HLURB</li> </ul> <p><i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification.</i></p>	<p>Province, cities and municipalities must have the following plans:</p> <ul style="list-style-type: none"> <li>- Approved PDPFP (provinces)</li> <li>- Approved CLUP and Zoning Ordinance (cities and municipalities)</li> <li>- Approved LDRRM Plan</li> <li>- Approved Contingency Plan OR LCCAP</li> </ul> <p>Additional for cities and 1<sup>st</sup> to 3<sup>rd</sup> class municipalities:</p> <ul style="list-style-type: none"> <li>- 50% of brgys with CBDRRM Plans (full-blown or one-pager matrix OR at the minimum 50% of barangays with DRR-related PPAs which includes efforts involving the communities, with budget and approved by the Barangay Development Council</li> </ul>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
6	Presence of early warning system	<p>Section 12 of RA 10121 mandates LGUs through their LDRRMOs to operate a "multi-hazard early warning system" linked to DRR to provide accurate and timely advice to national or local emergency response organizations and the general public, through diverse mass media, particularly radio, landline communications, and technologies for communication within rural communities.</p> <p>EWS, stated in Sec 3 of RA 10121, is defined as "set of capacities needed to generate and disseminate timely and meaningful warning information to enable individuals, communities and organizations threatened by a hazard to prepare and act appropriately and in sufficient time to reduce the possibility of harm or loss.</p>	<p>LDRRMO</p> <p>Document: Posted information on EWS</p> <p>Actual EWS</p>	<ul style="list-style-type: none"> <li>- Interview (LDRRMO &amp; residents)</li> <li>- On-site inspection to capture in a photo the actual presence or condition of EWS (marker per hazard, audible alarm system, rain gauge, posted information on EWS)</li> </ul>	<p>Provinces, cities and municipalities must have:</p> <ul style="list-style-type: none"> <li>- One marker per hazard area</li> <li>- Audible and wide-ranging warning and alarm system (e.g siren, church bell, radio)</li> <li>- Automated rain gauge OR indigenous technology to measure amount of rainfall</li> <li>- Info on EWS in conspicuous places (e.g. public market, LG building)</li> </ul>
7	Established evacuation center management	<p>DSWD-DILG-DOH-DepED JMC No. 1, series of 2013, provides guidelines on evacuation center coordination and management particularly for LGUs to guide them on how to manage evacuation centers and on how to determine opportunities to coordinate, collaborate and cooperate with other stakeholders to facilitate the provision of essential services to victims of disasters.</p> <p>Evacuation center refers to any site or center hosting evacuees which include but not limited to schools, covered courts, barangay/community halls, camping areas, collective centers, abandoned</p>	<p>LDRRMO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- List of evacuation centers</li> <li>- Accomplished registration document for evacuees OR system of registration stated in the Standard Operating Procedures or similar document</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> <li>- On-site inspection by RAT to capture in a photo the site of at least one evacuation center</li> </ul>	<p>Provinces, cities and municipalities must have:</p> <ul style="list-style-type: none"> <li>- Identified evacuation center</li> <li>- System for registration of evacuees and information guide</li> <li>- Localized information guide with facilities or map</li> </ul> <p>Additional for cities and municipalities:</p>



Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		houses/buildings, multi-purpose centers and/or established "tent cities".	<ul style="list-style-type: none"> <li>- Copy of evacuation center information guide</li> <li>- Certified Form 2.2A</li> <li>- Copy of a sample evacuation guide</li> </ul>		<ul style="list-style-type: none"> <li>- 50% of brgys with evacuation guides</li> </ul>
8	Organized, equipped and trained Search and Rescue or Emergency Response Teams	<p>Section 12 of RA 10121 mandates the LDRRMO to "organize, train, equip and supervise local emergency response teams", and to provide continuing training to improve the competence of staff in civil defense and DRRM.</p> <p>Motorized vehicle is an LGU-owned, either land, air, or water, mobile that has the capacity to carry and transport people from one place to another place in times of disaster.</p> <p>First aid kit, at the minimum, contains: adhesive strips, medical tape, conforming bandage, triangular bandage, wound dressing, swabs antiseptic, scissors, tweezers, safety pin, disposable gloves, plastic bag, first aid instruction booklet.</p> <p>Emergency medical kit or survival kit, at the minimum, contains food, water, flashlight, battery, radio, medications and medical items, whistle and other signaling device.</p>	<p>LDRRMO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- List of organized teams and personnel complement for the last 3 years</li> <li>- Inventory of equipment</li> <li>- Accomplishment report of trainings provided to SAR (with photos)</li> <li>- Terminal or Post Activity Report for trainings</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> <li>- On-site inspection of equipment to capture in a photo actual availability of equipment</li> </ul>	<p>Provinces, cities and municipalities: must have the following:</p> <ul style="list-style-type: none"> <li>- Teams organized within the last three (3) years</li> <li>- With equipage (water rescue kit, extrication kit, personal protective gear, first aid kit and emergency medical kit)</li> <li>- Trained in flood/swift water / ravine search and rescue, and basic life support within the last three (3) years</li> </ul>
9	System of prepositioning goods and resources	Presence of systems that facilitate the deployment and management of pre-determined goods and resources even before the event of disasters.	<p>LDRRMO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Memorandum of Agreement or other documents</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> <li>- On-site inspection of stockpiled goods to capture</li> </ul>	<p>Provinces, cities and municipalities must meet the following:</p> <ul style="list-style-type: none"> <li>- Mobilization and management of volunteer groups</li> </ul>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		<p>Indicates level of LGU readiness with the ability to provide for immediate essential needs such as relief goods, medical support and medicines</p> <p>MOA can be forged with suppliers such as supermarkets for the prepositioning of relief goods and other supplies, and pharmacies for medical supplies based on Rule 4 Section 4 (14) of the IRR of RA 10121.</p>	<p>indicating arrangements: volunteer groups, supermarkets/ pharmacies, medical groups or and/or psycho-social professionals, security system</p> <ul style="list-style-type: none"> <li>- Security services indicated in the Standard Operating Procedures or in the Security Plan of the PNP</li> </ul>	<p>in a photo actual availability</p>	<ul style="list-style-type: none"> <li>- Relief operations (in case of stockpiling, the LGU must be able to prepare at least 500 food packs and 500 hygiene kits</li> <li>- Medical and and/or psycho-social support</li> <li>- Security services</li> </ul>
10	Availability of Standard Operating Procedures: ICS, LDRRM Operations Center, and pre-emptive and forced evacuation	<p>Presence of DRRM-related mechanisms, process and structures adopted by the LGU to ensure effective coordination and monitoring of actions in the event of disasters.</p> <p>Incident Command System is a temporary organization and an on-scene disaster response that will be activated in response to disasters or emergencies pursuant to NDRRMC MC. No 04, s. 2012.</p> <p>The establishment of LDRRMM operations Center is pursuant to Rule 6 Section 4 (24) of the IRR of RA 10121. Based on the Disaster Preparedness Minimum Standards, the OpCen must (1) be able to function 24/7 (3 shifts); (2) have a dedicated officer; and (3) develop and implement Standard Operations and Procedures and the Contingency Plan.</p>	<p>LDRRMO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Standard Operating procedures (whether a separate document or contained in the LDRRM Plan)</li> <li>- EO or similar issuance on the activation of LDRRM OpCen in case it is temporary or functions 24/7 only during disasters</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> <li>- Onsite inspection of LDRRM Operations Center to capture in a photo actual presence of the facility</li> </ul>	<p>Provinces and HUCs must meet the following:</p> <ul style="list-style-type: none"> <li>- Presence of SOP</li> <li>- Presence of ICS</li> <li>- Presence of permanent LDRRM OpCen (functioning 24/7)</li> <li>- Established pre-emptive and forced evacuation</li> </ul> <p>ICCs and component cities must meet the following:</p> <ul style="list-style-type: none"> <li>- Presence of SOP</li> <li>- Presence of ICS</li> </ul>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		<p>For provinces and cities, the OpCen must be located in a low risk area. For municipalities, it must be located in an area least exposed to landslide, flood and storm surge.).</p> <p>Preemptive and forced evacuation is to be implemented by the LGU as a course of action during a disaster or emergency where there is a high threat of loss of lives within an identified area pursuant to DILG MC No. 2012-35</p>	<ul style="list-style-type: none"> <li>- Executive order or similar issuance establishing an Incident Command System</li> <li>- Executive order or equivalent issuance on preemptive and forced evacuation</li> </ul>		<ul style="list-style-type: none"> <li>- Presence of LDRRM OpCen (temporary, at least functioning 24/7 during disasters)</li> <li>- Established preemptive and forced evacuation</li> </ul> <p>Municipalities must meet the following:</p> <ul style="list-style-type: none"> <li>- Presence of SOP</li> <li>- Presence of ICS OR LDRRM OpCen (temporary, at least functioning 24/7 during disasters)</li> <li>- Established preemptive and forced evacuation</li> </ul>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
<b>III. SOCIAL PROTECTION</b>					
1	<p>Presence of mechanism for handling cases filed in VAWC desks and % of barangays submitting quarterly VAWC reports</p> <p><i>(for cities and municipalities only)</i></p>	<p>Establishment of LGU facility where cases filed in barangays relative to violence against women and children, such as physical, sexual and psychological abuse, can be reported and referred to pursuant to RA 9262 and RA 9710.</p> <p>LGU mechanism must be able demonstrate the step-by-step process of handling cases from filing to referral to resolution.</p> <p>Section 47 of the IRR of RA 9262 states the duty of the barangay in developing a system of recording and reporting cases of VAWC and assistance provided to victims. Report is to be submitted to the LSWDO.</p>	<p>LSWDO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Executive Order or similar issuance specifying LGU mechanism, e.g., reporting and referral, in handling VAW cases filed in barangays VAWC and/ or similar entities</li> <li>- Certified Form 2.3A</li> <li>- Monitoring Report of the Submission of Barangay Quarterly VAWC Reports for CY 2015</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	<p>Cities and municipalities: at least 70% of barangays have submitted their quarterly VAWC reports for the 3rd and 4th quarters of 2015</p>
2	<p>Presence of LGU-managed residential care facility for the vulnerable sectors</p> <p><i>(for provinces and HUCs only)</i></p>	<p>Capability of the LGU to advance the welfare and well-being of the vulnerable sector such as children women, PWD and senior citizen with the provision of care facilities such as Bahay Pag-asa or Youth Home, Group Homes and other similar entities pursuant to RA 10630, RA 9710, RA 7277, RA 9994, and RA 7432, which are LGU-managed or owned.</p> <p>Certification must be updated as of December 2015.</p>	<p>LSWDO</p> <p>Document:</p> <ul style="list-style-type: none"> <li>- Valid Certification of residential care facility from DSWD</li> </ul>	<p>Document Review</p> <p>Additional Document: List of residential care facilities accredited by DSWD-CO</p>	<p>Provinces and HUCs: At least one accredited LGU-managed residential care facility for the vulnerable sectors (e.g. women, children, senior citizens and PWDs)</p>
3	<p>LSWDO is a registered social worker</p>	<p>Presence of competent manning complement for the Local Social Work and Development Office.</p>	<p>LSWDO</p> <p>Documents:</p>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> <li>- Photo to capture the PRC license or</li> </ul>	<p>Provinces, cities and municipalities: LSWDO is a registered social worker</p>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		Rule 111 of the IRR of RA 9433 states that each LGU must appoint a duly licensed social worker as LSWDO.	<ul style="list-style-type: none"> <li>- Registered Social Worker's PRC License: Number and Validity OR claim stub from PRC if registered LSWDO has just recently filed renewal of license</li> <li>- CSC Certificate of Appointment</li> </ul>	claim stub of the registered social worker	Exemption: In the case where the head of Office was hired prior to the enactment of RA 9433 or the Magna Carta for Social Workers, there must be at least a registered social worker serving as technical staff in the office.
4	2015 Philhealth-accredited main health facilities	Presence of LGU hospitals or health facilities accredited by Philhealth to be capable of delivering particular health services.	<p>P/C/MHO</p> <p>Document: Copy of 2015 Philhealth accreditation</p>	<p>Document Review</p> <p>Additional Document: List of Philhealth-accredited provincial, city or municipal health facilities or rural health units from Philhealth-CO</p> <p><i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification.</i></p> <p><i>Special case:</i> An LGU which does not subject its RHU or hospital for accreditation due to the presence of</p>	<p>Provinces: at least one accredited LGU-managed main hospital or health facility</p> <p>Cities: at least one LGU-managed main hospital or health facility accredited for all 3 – MCP, PCB and TB-DOTS</p> <p>1<sup>st</sup> to 3<sup>rd</sup> class Municipalities (1<sup>st</sup>-3<sup>rd</sup>): at least one LGU-managed health facility or rural health unit accredited for MCP, and either PCB or TB-DOTS</p> <p>4<sup>th</sup> to 6<sup>th</sup> class Municipalities: at least one LGU-managed</p>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
				accredited provincial or district hospital (located in that LGU) where residents avail of health services – will be subjected to the Change Request process for further verification of Philhealth. A copy of the Philhealth accreditation of the facility must be attached.	health facility or rural health unit accredited for MCP
5	Aligned 2015 Local School Board Plan and School Improvement Plan	<p>Consistency of LSB Plan and SIP</p> <p>Both plans are said to be aligned once the LSB Plan was signed by the DepEd representative in the LSB.</p> <p>In case where the LGU has at least two (2) districts, choose one district for the certification.</p>	<p>DepEd-Superintendent or DepEd representative in the LSB</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Certified Form 2.3B</li> <li>- 2015 Local School Board Plan</li> <li>- 2015 School Improvement Plan</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	Provinces, cities and municipalities: A Local School Board Plan for 2015 that is aligned with the School Improvement Plan.
6	Completion rate of 2015 LSB Plan	Attainment of the plans and programs targeted in the LSB plan for 2015	<p>DepEd-Superintendent or DepEd representative in the LSB</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Certified Form 2.3B</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	Provinces, cities and municipalities: Must have achieved 80% completion rate on either PPAs or utilization rate of fund allocation

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
			<ul style="list-style-type: none"> <li>- 2015 Accomplishment Report of LSB Plan</li> <li>- 2015 Financial Report of LSB Plan</li> </ul>		
7	<p>Presence of designated express lanes for persons with disabilities, senior citizens and pregnant women for frontline service(s)</p> <p><i>(for cities and municipalities only)</i></p>	<p>Supports efforts to provide prompt access of PWDs, senior citizens and pregnant women to frontline services in all government establishments pursuant to Section 32 of RA 9442, Section 4 of RA 9994, and Section 3 of RA 9710</p> <p>Courtesy or express lane is generally a lane or passageway reserved for a special group of people with distinct physical, social, economic and cultural conditions or difficulties.</p>	<p>BPLO</p> <p>Office of the Civil Registrar</p> <p>Main hospital/health facility or RHU</p>	<p>On-site inspection to capture photo of actual LGU availability of facilities or posting of priority signages</p>	<p>Cities and municipalities: Either presence of designated express lanes OR priority signages in all 3: BPLO, OCR, and main hospital / health facility or RHU</p> <p>Priority signages sample texts: "Please give priority to Senior Citizens, Pregnant Women and PWDs"</p>
8	Compliance with the Accessibility Law	Adherence to structural features of LGU buildings to facilitate accessibility and mobility of persons with disabilities pursuant to BP 344.	<p>Provincial Capitol or City/Municipal Hall</p> <p>LGU-managed hospital / health facility or RHU</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>• In case the LG building is a historical site as certified by the NHIP: copy of NHIP certification</li> </ul>	<ul style="list-style-type: none"> <li>- On-site inspection to capture photo of actual existence of facilities</li> <li>- Use of gradient tool to test ramp</li> <li>- Document review, if applicable</li> </ul> <p><i>Note: All documentations are subject for review by the NCDA.</i></p>	<p>Provinces, cities and municipalities must have:</p> <ul style="list-style-type: none"> <li>- Entrance or exit of the LG building: ramps with 2-level handrails on both sides, and which passed the Ramp Gradient Finder Test</li> <li>- Toilet for PWDs with L-shaped grab bars</li> </ul>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
			<ul style="list-style-type: none"> <li>In case the LG building is under construction: copy of building plan which must indicate that the establishment of a ramp is one of its features</li> </ul>		<p>Exemptions for ramps in the entrance or exit LG buildings:</p> <ul style="list-style-type: none"> <li>- Ground level entrance or exit</li> <li>- With dropped curb due to an elevation of short distance and its lowest point does not exceed 25 mm from the road or gutter</li> <li>- With special lift or elevator at the ground level</li> <li>- LGU has facility or building catering to all PWD-related concerns or services</li> <li>- LG building is a historical site</li> <li>- LG building is under construction</li> </ul> <p>Exemption for ramps and handrails made with materials other than concrete (steels and cement): Ramp must be installed permanently, passable, durable, and meets the minimum requirement (handrails on both sides, and must pass the ramp gradient tool).</p>



Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
					Exemption for having ramps with only one handrail: If ramp is adjacent to a wall and has a width less than 1.20m.
9	<p>Indigenous Peoples Mandatory Representation in the Sanggunian</p> <p><i>(applicable only to LGUs with IPs whose representative in the Sanggunian has received a Certificate of Affirmation or COA from NCIP)</i></p>	<p>Participation of indigenous peoples in local governance particularly in the Sanggunian pursuant to RA 8371 or the IPRA Law, DILG MC 2010-119 and DILG-NCIP JMC series of 2011</p>	<p>Sanggunian</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Certificate of Affirmation received by IPMR</li> <li>- At least one payroll each: CY 2015 and CY 2016</li> <li>- At least one Sanggunian Minutes of the Meetings each: CY 2015 and CY 2016</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul> <p>Additional Document: List of LGUs with Certificate of Affirmation and the corresponding status of the IPMR in the sanggunian</p> <p><i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification</i></p>	<p>Provinces, cities and municipalities whose IPMR has COA must meet the following:</p> <ul style="list-style-type: none"> <li>- IPMR is seated in the sanggunian</li> <li>- IPMR receives compensation and other regular benefits of a Sanggunian Member</li> <li>- IPMR attends meetings as indicated in the minutes</li> </ul>
10	Representation of sanggunian-accredited CSOs in the LDC	<p>Participation of civil society organizations in local governance with their representation in local special bodies particularly the LDC pursuant to RA 7160.</p> <p>This indicator refers to the regular LDC.</p> <p>CSO representative(s) may come from any of the following sectors: (a) PWD); (b) Women; (c) Farmers and landless rural workers; (d) artisanal fisher folk; (e) urban poor; (f) Workers in formal labor and migrant workers; (g)</p>	<p>LPDC</p> <p>Sanggunian</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Executive Order or Resolution creating the LDC (with names of member-CSOs and official representatives)</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	<p>Provinces, cities and municipalities must meet the following:</p> <ul style="list-style-type: none"> <li>- Inclusion of CSO representatives</li> <li>- Participation of a CSO representative in LDC meetings</li> <li>- Representation of CSOs comprises ¼ of the fully</li> </ul>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		Workers in the informal sector; (h) Children; (i) Youth and students; (j) Senior citizens; (k) Victims of disasters and calamities; (l) cooperatives; or (m) other sector.	<ul style="list-style-type: none"> <li>- Minutes of the Meetings</li> <li>- List of organized and accredited CSOs</li> </ul>		<p>organized local development council</p> <p>Exemption of <math>\frac{1}{4}</math> composition: if LGU does not have sufficient number of organized and accredited CSOs</p>
11	Implementation of social-protection related programs for sectoral concerns for CY 2015	LGU initiatives to help promote and support the welfare and well-being of the children and youth, women, persons with disabilities, elderly, family and community through various programs.	<p>LSWDO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Accomplished Form 2.3A</li> <li>- 2015 Accomplishment reports for the SP-related programs</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	<p>Provinces and municipalities: must have at least one (1) program implemented for each identified sector OR Local Code for Children</p> <p>Cities: must have at least two (2) programs implemented for each identified sector OR Local Code for Children</p>
12	Local Code for Children	<p>Measures the effectiveness of LGU's policies, programs and services for children to promote, safeguard and support their core rights to survival, development, protection and participation.</p> <p>The Local Code for Children is one of the expected outputs of the Local Council for the Protection of Children pursuant to RA 9344.</p>	<p>LCPC</p> <p>LSWDO</p> <p>Document:</p> <ul style="list-style-type: none"> <li>- Ordinance approving the Local Code for Children</li> </ul> <p><i>Note: In case Local Code for Children is integrated in another Code (e.g. Code of</i></p>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	<p>Provinces and municipalities: Must have a Local Code for Children OR must have at least one (1) program implemented for each identified sector</p> <p>Cities: Must have a Local Code for Children OR must have at least two (2) programs</p>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
			<i>General Ordinance), copy of said Code or ordinance will be the MOV</i>		implemented for each identified sector

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
<b>IV. BUSINESS-FRIENDLINESS AND COMPETITIVENESS</b>					
1a	Ranked among the Top 50 of the Competitiveness Index as assessed by NCC for 2015  <i>(for cities and municipalities only)</i>	Recognition of the LGU's exceptional and substantial efforts and practices in promoting and enticing business, investment and employment through its services, facilities or infrastructures and innovations as assessed by the National Competitiveness Council.  NCC has identified 50 cities (25 HUCs and 25 ICCs and component cities) and 50 municipalities (25 1 <sup>st</sup> to 2 <sup>nd</sup> municipalities class and 25 3 <sup>rd</sup> to 6 <sup>th</sup> class municipalities).	LEIPO  Document: Recognition received from NCC	- Interview  Document: List from NCC on the 2015 Top 50 LGUs	Cities and municipalities: LGU must belong to the top 50 cities and municipalities of the Competitiveness Index for 2015
1b	Finalist of PCCI's Most Business Friendly LGUs Award for 2015	Recognition of the LGU's exceptional and substantial efforts and practices in promoting and enticing business, investment and employment through its services, facilities or infrastructures and innovations as assessed by the Philippine Chamber of Commerce	LEIPO  Document: Recognition received from PCCI	Interview  Document: - List of 2015 Finalists from PCCI	Provinces, cities and municipalities: LGU must be a 2015 Finalist of the PCCI's Most Business-Friendly LGUs Award
<b>OR</b>					
2	Presence of LEIPO	Demonstrates that the LGU values the promotion of efforts to ensure local economic growth and attract investments with the establishment of an office or designation of personnel intended for it pursuant to DILG MC No. 2010-113.	LEIPO  Document: - Executive order or similar issuance creating the LEIPO or designating an officer for LEIP with listed functions, duties and responsibilities - 2015 Accomplishment report vis-à-vis duties and functions	- Interview - Document review	Provinces and cities: presence of the Local Economic and Investment Promotion Office  Municipalities: designated Local Economic and Investment Promotion Officer

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
3	<p>Presence of Citizen's Charter for securing permits for new business and business renewal</p> <p><i>(For cities and municipalities only)</i></p>	<p>LGU efforts to encourage businesses and investments by simplifying business processing and licensing with the establishment of a Citizen's Charter pursuant to the ARTA Law, DILG MC No. 2010-113 and DILG-DTI JMC No. 1, s. 2010.</p> <p>A citizen's charter is a tool that facilitates the delivery of quality and prompt services to citizens with clearly defined activities and specified standards, quality, timeframe and responsible officers with commitment from LGU and its citizens.</p>	<p>BPLO</p> <p>Document:</p> <ul style="list-style-type: none"> <li>- Actual posted Citizen's Charter</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Onsite inspection to capture in a photo the Citizen's Charter</li> </ul>	<p>Cities and municipalities: Citizen's Charter must be visible within the BPLO and must be updated to reflect current fees and processing time</p>
3	<p>Release of business or mayor's permit within the prescribed period</p> <p><i>(For cities and municipalities only)</i></p>	<p>Actual observance of time standards in the release of business or mayor's permit from the time it was applied for pursuant to DILG MC No. 2010-113 and DILG-DTI JMC No. 1, s. 2010.</p>	<p>BPLO</p> <p>Document</p> <ul style="list-style-type: none"> <li>- Certified Form CM 2.4A</li> <li>- Sample transactions for renewal and new businesses for 2015</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	<p>Cities and municipalities: Simplified business processing and licensing system: (a) Not more than 5 steps, both for new and renewal of business; (b) Not more than 1 day processing time for business renewal; (c) Not more than 5 days processing for new business</p>
4	<p>Presence of business one-stop-shop</p> <p><i>(for cities and municipalities only)</i></p>	<p>Provision of an office or facility where multiple services are offices where customers or businesses can get most if not all they need in just one location pursuant to DILG MC No. 2010-113 and DILG-DTI JMC No. 1, s. 2010. This is characterized by the presence of designated full-time staff, simplified business process, shortened processing time and</p>	<p>Business One-Stop-Shop, which may be located in the BPLO</p> <p>Documents:</p> <ul style="list-style-type: none"> <li>- Memorandum of Agreement or any document that proves the</li> </ul>	<ul style="list-style-type: none"> <li>- Onsite inspection to capture photos of the BOSS</li> <li>- Document review</li> </ul>	<p>Cities and municipalities: Actual existence of BOSS</p>

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		functional computer and communication system.	establishment of BOSS – seasonal or all year round		
5	Tracking system for business / investment-related data  <i>(for cities and municipalities only)</i>	<p>LGU measure to systematize economic database for the optimal use and reference of the LGU in support of DILG MC No. 2010-113</p> <p>Required economic data: (a) no. of new businesses; (b) no. of business renewals; (c) amount of capital investment derived from registered new businesses and business renewals; and (d) no. of employees derived from registered new businesses and business renewals.</p>	<p>BPLO</p> <p>Document:</p> <ul style="list-style-type: none"> <li>- Database or record book where business application forms are tracked and summarized</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	<p>HUCs: computerized or computer-aided tracking system and must be able to provide at least 3 of the economic data required</p> <p>ICCs, component cities and municipalities: computerized or computer-aided tracking system and at least must be able to provide at least 2 of the economic data required</p>
6	Local Investment Incentive Code  <i>(for provinces and cities only)</i>	A legislative measure to strengthen initiatives to draw local and foreign investments through appropriate policies pursuant to DILG-DTI JMC No. 2011-01, s. 2011.	<p>LEIPO</p> <p>Document;</p> <ul style="list-style-type: none"> <li>- Ordinance approving the LIIC</li> </ul>	<ul style="list-style-type: none"> <li>- Interview</li> <li>- Document review</li> </ul>	Provinces and cities: Presence of Local Investment Incentive Code

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
<b>V. PEACE AND ORDER</b>					
1	LPOC convened, at least, once every quarter	Frequency of LPOC meetings to ensure coordinated efforts among the LGU, civil society, private sector, academe and the citizenry in the suppression of criminality and maintenance of peace and order in the locality.	LPOC  Documents: - Certified Form CM 2.5A - Minutes of the Meetings for the 3 <sup>rd</sup> and 4 <sup>th</sup> quarters of 2015	- Interview - Document review	Provinces, cities and municipalities: LPOC convened, at least once every quarter particularly for the 3 <sup>rd</sup> and 4 <sup>th</sup> quarters of 2015
2	Adopted a Local Anti-Criminality Action Plan for CY 2015 (whether a separate plan or embodied in the Integrated Area Community Public Safety Plan or Local Peace & Order, and Public Safety Plan) and at least 75% implementation or utilization rate of fund allocation	Support of the LGU to the LACAP (whether in the IA-CPSP or POPS Plan) to facilitate the maintenance of peace and order and public safety within the locality	PNP  Documents: - Certified Form 2.5A - 2015 LACAP Accomplishment Report - 2015 LACAP Financial Report	- Interview - Document review - Photo of LACAP (whether a separate plan or embodied in the Integrated Area Community Public Safety Plan or Local Peace & Order, and Public Safety Plan)	Provinces, cities and municipalities: Adopted plan, and 75% completion rate of activities in the 2015 LACAP and 75% utilization rate of fund allocated for the purpose
3	Provision of logistical support to the PNP	Extent of assistance of the LGU to support the operations and maintenance of the local police station	PNP  Documents: - Certified Form 2.5A	- Interview - Document review	Provinces, cities and municipalities: With logistical support provided such as ammunition, communication, vehicles, police station, supplies for the 3 <sup>rd</sup> and 4 <sup>th</sup> quarters of 2015

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
					<i>Note: If support was provided in the early part of 2015 but still being utilized until the 4<sup>th</sup> quarter, this will be considered.</i>
4	Organized and trained BPATs  <i>(for cities and municipalities only)</i>	Participation of community volunteers in helping maintain peace and order and public safety within the community through the BPATs or any similar unit for the purpose	PNP  Documents: - Certified Form CM 2.5A - List of barangays with organized BPATs or other similar units - List of barangays with trained BPATs or other similar units	- Interview - Document review	Cities and municipalities: 100% of barangays must have organized BPATs or any similar unit  80% of barangay with trained BPATs or any similar unit <i>(trained within the last three years)</i>
5	Joint LGU-PNP activities contained in the 2015 LACAP whether a separate plan or embodied in the Integrated Area Community Public Safety Plan or Local Peace & Order, and Public Safety Plan)  <i>(for provinces only)</i>	Partnership between the LGU and the PNP in the implementation of programs or activities contained in the LACAP or IA-CPSP or POPS Plan	PNP  Documents: - Certified Form Prov 2.5A - 2015 LACAP Accomplishment Report	- Interview - Document review - Photo of joint LGU-PNP activity	Provinces: At least 2 joint LGU-PNP activities



Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
VI. ENVIRONMENTAL MANAGEMENT					
1	System of waste collection as embodied in a local ordinance and actual implementation of solid waste segregation in LG buildings	LGU initiatives to carry-out wastes segregation within the locality and within LGU-owned structures or facilities in support of Section 10 of RA 9003	P/C/MENRO  Document: - Local ordinance on solid waste collection system	- Interview - Document review - Onsite inspection of LG buildings to capture in photos actual implementation of waste segregation	Provinces, cities and municipalities: Local ordinance on solid waste management  In particular: Provinces: Implements solid waste segregation in the provincial capitol and main provincial hospital  Cities and Municipalities: Implements solid waste segregation in the city hall, city hospital or main health facility, public schools and public market
2	Presence of 10-year Solid Waste Management Plan	Capacity of the LGU to safeguard the environment by complying with RA 9003 particularly on the adoption of a 10-year SWM Plan pursuant to Section 2 and 17 of the Act	P/C/MENRO  Documents: - Approved SWM Plans - Copy of letter duly received by the NSWMC requesting the agency to review SWM Plan of LGU	- Interview - Document review  Additional Document: List of SWM Plan approved by the NSWMC and list of LGUs whose SWM Plans are for review  <i>Note: In case of inconsistency in LGU data and provided data, RAT must fill-out</i>	Provinces, cities and municipalities: 10-year Solid Waste Management (SWM) Plan at least submitted for review to the NSWMC

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
				<i>Change Request Form for further verification</i>	
3	Presence of MRF <i>(for cities and municipalities only)</i>	LGU efforts to safeguard the environment with the establishment of a materials recovery facility in accordance with Section 32 of RA 9003	P/C/MENRO  Documents: - Memorandum of Agreement if facility is in partnership with a private entity	- Interview - Document review - Onsite inspection to capture in photo the MRF or similar facility	Cities and municipalities: A Material Recovery Facility (MRF), or an existing partnership with similar entity
4	Access to sanitary landfill or alternative technology as final disposal <i>(for cities and municipalities only)</i>	Compliance to the proper disposal of wastes as indicated in Section 42 of RA 9003.  The following are classified as alternative technology per the NSWMC: - Biomass Technology includes the use of bagasse as boiler fuel for cogeneration; rice/coconut husks dryers for crop drying; biomass gasifiers for mechanical and electrical applications; and fuelwood and agri-wastes for oven, kiln, furnace, and cookstoves for cooking and heating purposes. - Co-processing - reuse or recovery of mineral or energy content of waste material while simultaneously manufacturing cement in a single, combined operation (DENR, 2010). - Mechanical-Biological Treatment (MBT) - preparing waste material for disposal and integration of several processes commonly found in other waste management technologies, such MRFs, sorting and composting, or anaerobic digestion.	P/C/MENRO  Documents: - Memorandum of Agreement if facility is in partnership with a private entity	- Interview - Document review - Onsite inspection to capture in photo facility for final disposal	Cities and municipalities: Access to sanitary landfill or alternative technology

Indicator		Relevance/Definition	Data Source: Office and Document (for the P/C/MLGOO)	Means of Verification (for the RAT)	SGLG Minimum Requirement
		<ul style="list-style-type: none"> <li>- Poly-Green Technology - an industrial process that converts (non-biodegradable and mostly non-recyclable plastic waste into liquid hydrocarbon, similar to diesel and gasoline.</li> </ul> <p>The following are <b>NOT</b> considered as alternative technologies:</p> <ul style="list-style-type: none"> <li>- Composting (backyard composting or household composting, household management of wastes, natural composting / natural decomposting / organic composting, ecological center with vermin composting, vermin composting, rotary drum composter, bio-reactor technology, hammermill-shredder)</li> <li>- Eco-SWM Park</li> <li>- Residual Containment Area (RCA)</li> </ul>			

*Note: Income Class is based on DOF-BLGF data. In case of inconsistency in LGU data and provided data, RAT must fill-out Change Request Form for further verification.*

REFERENCES: (1) Pertinent laws and issuances supporting the indicators; (2) Upgrading the LGPMS: Final Report by Luis P. Eleazar (2015)